

**SPECIMEN · ACADEMIC PROJECT · NOT A VALID LICENCE OR PERMIT**

## AML / CFT Registration

Relevant authority: **Financial Intelligence Authority (FIA), Uganda**

Legal basis: Anti-Money Laundering Act, 2013 (as amended)

|                           |                                                                                              |
|---------------------------|----------------------------------------------------------------------------------------------|
| <b>Accountable person</b> | AfriShield Gold Ltd (hypothetical)                                                           |
| <b>Reference</b>          | SPEC-AFG-FIA-0004                                                                            |
| <b>Sector</b>             | Dealers in precious metals and stones                                                        |
| <b>Obligations</b>        | Customer due diligence, record keeping, suspicious transaction reporting, compliance officer |
| <b>Status</b>             | Specimen only: not registered                                                                |

### Notes for the reader

- Dealers in precious metals are accountable persons under Uganda's anti-money-laundering law.

Issued for: classroom demonstration of the documents a legitimate gold trader would hold. No crest, seal or signature of any authority is reproduced.